

- ► CCOF recommends beginning the application process with sufficient time before certification is required to allow for the necessary inspection and review process. While in some cases certification can be provided in a very short time frame, providing up to twelve weeks is recommended. Expedited services are available.
- ▶ Please keep a copy of all documents submitted to CCOF for your records.
- See www.ccof.org/certification/how or contact us with questions. Find all forms at www.ccof.org/documents.
- ► Complete and send the following to apply for certification:
 - CCOF OCal Certification Contract (this 6-page form)
 - · OCal System Plan (OSP) forms and attachments
 - o Carefully review the OCal System Plan (OSP) Guides applicable to your operation, and complete all forms indicated.
 - Guide to OCal Cultivator OSP Forms
 - Guide to OCal Handler OSP Forms

	- Guide to Ocal Halluler OSP Forms						
•	\$350 Application fee						
	Non-refundable and due with applicat						
	☐ My credit card information is on pa	ge o ∟ i nav	e included	another form of payment			
	☐ I have a discount code:	0.1.00	0.11.040	0.1.0.0.0.0.000			
ŀ	Email to: inbox@ccof.org Or Mail to: CCOF, 877	Cedar Street,	Suite 248,	Santa Cruz, CA 95060			
· ŀ	How did you hear about CCOF?						
•	If you were referred by a CCOF client, please page 1.	rovide their op	eration nar	ne and/or client code:			
۱ ٠	If you are certified organic with CCOF please provide	de your CCOF	client code:				
۱. (Company Information						
) E	Business Name:						
[DBA:						
٧	Website:						
F	Phone:	Ext:		Fax:			
) E	Business Information:						
٦	Tax ID#:						
[Sole Proprietorship. Owner's Name:						
[Partnership. Owner's Names:						
[☐ Corporation -OR- ☐ LLC. State of incorporation	on:					
	Name of owners, or officers and their titles:						
	Physical Location of Your Operation. Where OCal production or handling occurs, or where records are kept (for broker/trader/private label owners):						
A	Address:			City:			
5	State/Province: Zip/Po	ostal Code:		Country:			
) [Mailing Address if different:						
-	State/Province: Zip/Po	ostal Code:		Country:			
	Billing Address if different:			· ·			
-	State/Province: Zip/Po	ostal Code:		Country:			
) F	Preferred written communication method: Email	☐ Postal Ma	ail				



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В.	Operation Summary					
1)	Help us understand your OCal operation. Describe or attach a summary description of your OCal business or plans.					
	Your full details will be on the comple	te OCal System Plan you submit.				
	☐ Description attached					
C.	Contact Information					
1)	Primary Contact					
	unless you choose to opt out of the di	operation to be CCOF's Primary Contac rectory on page 6 of this form. This pers activities, applicable OCal standards and contact.	on should be knowle	dgeable of your operation, your		
	Name:	Title:				
	Phone:	Email(s):				
2)	Additional Contacts					
,	Please list all people at your operation	authorized to conduct inspections, mee	et with inspectors, mo	odify the OSP, or otherwise act on		
	behalf of the company. Check the CC above. Attach an additional list if necessity	box for contacts that should receive all essary.	communication alono	g with the Primary contact listed		
		•		CC: □		
	Name/Title	Phone number	Email			
				CC: □		
	Name/Title	Phone number	Email			
				CC:		
	Name/Title	Phone number	Email			
D.	Certification Program Inform	ation				
1)	Does this operation produce, manufac					
		s and/or cannabis product(s)	OCal cannabis and/o	r cannabis product(s)		
2)	By what date do you anticipate the ne	-				
	The certification process could take 1 Service.	2 weeks or longer. If you need a shorter	timeline you can enr	oll in the Expedited Certification		
3)		a third-party cannabis certification com	pany (i.e. Sun and Ea	arth, Certified Kind, Envirocann,		
	☐ No ☐ Yes, provide name of cert	ifier and attach a copy of your certificate	:			
4)	Is your operation currently certified or	ganic?				
	☐ No ☐ Yes, provide name of cert	ifier and attach a copy of your certificate	:			
5)	Is your operation currently certified O	Cal?				
	☐ No ☐ Yes, provide name of cert	ifier and attach a copy of your certificate	:			
6)	Has this operation ever applied for, or	been granted, OCal certification?				
	☐ No. Skip to section E. ☐ Yes. C	omplete this section and provide name o	of certifier:			
	•	fication of fields or products ever susper		☐ Yes ☐ No		
		on with outstanding non-compliances or	conditions?	☐ Yes ☐ No		
	c) Was your application for OCal ced) Did you withdraw your application	rtification ever issued a denial? n for certification with outstanding non-co	omnliances?	☐ Yes ☐ No ☐ Yes ☐ No		
	a, Dia you willialaw your application	Tion och moduom with outstanding non-co	στημιατίοσο :	☐ 1C3 ☐ 1NO		

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ccof@ccof.org





(831) 423-2263



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7)	If you answered yes to a, b, c, or of all corrective actions:	d above, please list the years and agencies	s, attach a copy of all relevant le	etter(s) and a description
	Vear(s):			Letters Attached
	Corrective actions taken:			
OC For	cal applicants must hold an active ar r more information, visit the DCC we s section.	ar(s):		
٠,	Name:	Title:		
	Phone:	Email(s):		
	Address:		City:	
	State/Province:	Zip/Postal Code:		
2)	Licensee Business Contact if di	fferent		
	Name:	Title:		
	Discourse	□:!/-\·		
	Address:		City:	
	State/Province:	Zip/Postal Code:	Country:	
	☐ Specialty Mixed-Light Tier ☐ Specialty Mixed-Light Tier ☐ Specialty Outdoor: ☐ Small Indoor:	1:		
	-			
	-)·		
	•			
				
	☐ Nursery:			
	☐ Processor·			

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CCOF OCal Certification Contract

	c)	Manufacturers and Distributors: Expected cost of service fees charged by certified OCal co-processors (next 12 months).
	b)	Manufacturers and Distributors: Expected cost of certified organic and/or OCal ingredients/products purchased (next 12 months).
	a)	Cultivators: Expected cost of certified OCal seed and/or planting stock purchased (next 12 months).
1)		operations: Expected OCal production value (next 12 months). List total value of certified OCal production/sales, or services has contract processing/handling for non-cultivator businesses.
•	calc pro	OF determines your initial annual certification fee according to your expected annual OCal Production Value (OPV). OPV is culated using your expected certified OCal production/sales (over the next 12-month period) minus the cost of certified OCal ducts or services, such as certified seed and/or planting stock, certified ingredients, or certified processing services, purchased in same 12-month period.
F. ▶	CC sub pag	nual Certification Fee OF will estimate and invoice your certification fee based on the information provided below and collected at your initial and sequent inspections. Certification fees must be paid prior to issuance of certification. Enter your credit card information on e 6 or attach another form of payment. Please refer to the CCOF">CCOF Certification Services Program Manual for detailed fee rmation.
		Storefront Retailer (Not eligible for certification):
		Non-storefront Retailer (Delivery Only) (Not eligible for certification):
		Activities your microbusiness conducts: Microbusiness license number:
		☐ Distributor Transport Only: ☐ Microbusiness (Note that retail activities are not eligible for certification)
		Distributor:
	c)	Commercial
		☐ Type S: (Manufacturers who work in a shared-use facility):
		Type P: (Packaging and labeling):
		☐ Type N: (Infusion of products):
		☐ Type 7: (Volatile solvent manufacturing):
		☐ Type 6: (Non-volatile solvent manufacturing or mechanical extraction):
	b)	Manufacturer Manufacturers are required to register with CDPH after achieving OCal certification with CCOF; your inspector will verify that you have begun the CDPH application.











Op	Operation Name: Date:				
G.	Се	ertification Contract and Agreement			
•		ne following must be signed by a legally authorized representative of any operation by al COF OCal CS (CCOF).	Il applicants for certification by		
		y signing this document, the applicant acknowledges that it has received, has read, fully bund by the terms of the CCOF Certification Program Manual and further agrees to:	understands, and agrees to be		
1)	Dep	omply with all State and applicable OCal production and handling regulations as described in rule partment of Agriculture and California Department of Public Health (including those regulations egulations (3 CCR) and the OCal Guidance as published on the CDFA website).			
2)		omply with and strictly adhere to all CCOF standards, procedures and policies set forth in the CC the following:	COF Manual including but not limited		
	a)	Establishing, implementing, and updating annually an OCal System Plan that will be submitted	ed to CCOF.		
	b)	Permitting on-site inspections with complete access to the production or handling aspects of t production areas, structures, or offices by CCOF. These inspections may be announced or uCCOF or as required by an accreditation authority, government entity with jurisdiction, or oth	inannounced at the discretion of		
	c)	Maintaining all records applicable to the OCal operation for not less than five (5) years beyon	nd their creation.		
	d)	Allowing authorized representatives of CCOF, an accreditation authority, government entity we body access to these records under normal business hours for review and copying to determ standards, regulations or governing law.			
	e)	Understanding CCOF may use subcontractors for inspecting, testing and other technical ser	vices, as necessary.		
	f)	Submitting to CCOF any applicable fees as described on the most current fee schedule.			
	g)	Immediately notifying CCOF concerning any application, including drift, of a prohibited subst site, facility, livestock, or product that is part of an operation.			
	h)	Immediately notifying CCOF of any change in your certified operation or portion of it that may applicable standards, regulations or governing law.	y affect its compliance with the		
	i)	Using the CCOF name and OCal seal(s) only in accordance with CCOF standards and ceas OCal seal upon notice by CCOF. Any use of CCOF's names or marks, without the express of prohibited and constitutes an infringement of CCOF's rights. CCOF shall be entitled to its real incurred in bringing any civil action, arbitration, or mediation to enforce its rights to its names of	consent of CCOF, is strictly asonable attorney's fees and costs		
	j)	Destroying or returning to CCOF all packaging and certificate(s) upon notice from CCOF.			
	k)	Understanding that the use of the CCOF name and seal must be in accordance with the CCO	OF standards.		
	l)	Authorizing CCOF to list certified parcel crops, products, services, and acreage on my certification of the company control of the control of t	cate and in the CCOF Directory.		
	m)	Immediately ceasing all claims of CCOF certification associated with this operation, and desilabeling, and marketing material containing reference to CCOF in the event that this operation suspended or revoked.			
	n)	Agreeing to be legally bound by the terms of the paragraphs entitled "Consent to Electronic "Consent to Jurisdiction", "Indemnification" and "Limit of Liability" as described in the CCOF (Manual.			
und per	I, the owner or legally authorized corporate representative, acknowledge the above General Requirements for CCOF certification and understand that any willful misrepresentation may be cause for denial of an application and sanctioning of certification. I authorize the person(s) listed above to act on behalf of my company in establishing or maintaining OCal certification. I attest that all information in this application is true and accurate to the best of my knowledge:				
Na	me/T	Title Signature	Date		

OCALB01, V1, R6, 09/30/2024









831-423-4528



Op	peration Name:		Date:
Н.	Credit Card Payment Information		
Т	ype of Credit Card: 🗌 Visa 🔲 Master Card 🏻 [Amex	Amount: \$
С	redit Card Billing Address:		
С	ity:	State:	Zip code:
N	ame on Card:	Email:	Phone Number:
С	redit Card Number:		
Е	xpiration Date (mm/yy):		Security Number (The three-digit code on the back of your card. For Amex, this is the four digits on the front):
С	COF applies a 3% surcharge to each credit card	transaction. No a	dditional surcharge is applied to debit card transactions.
S	ignature:		
<u> </u>	Dublic Buefile Information (antique)		
I.	Public Profile Information (optional)		Il be used to populate your online directory profile and to help CCOF
	promote your unique operation.		is be used to populate your crimine directory profile and to help occi-
4)	Do not include my operation in the online dir	ectory.	
1)	Online Presence: ☐ Facebook:		
	Linkedin:		
2)	Sales Methods:		
	Copacking Services (CS):		
	☐ Ingredients (Ing):		
	Internet (WWW):		
	Retail (R):		
	☐ Wholesale (WS):		
3)	Apprenticeship Options:		
	Apprenticeship Offered:		
	Terms: ☐ Board ☐ Internships ☐ Wag	je 🗌 Other:	
4)	Company Statement (Promotional/sales/information	ational or public st	atement about your company):
J.	Additional Service Opportunities (op	otional)	
	-		OF representative or partner organization will contact you.
	USDA National Organic Program (NOP) cor		annabis production
	☐ Food Safety Services for non-cannabis farm☐ Food Safety Services for non-cannabis facilities		
	Food Safety training	5. p. 000001119	
	Other:		

OCALB01, V1, R6, 09/30/2024





www.ccof.org





831-423-4528



3 CCR §10201,17 CCR §22040

GUIDE TO OCAL HANDLER OSP FORMS

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

Page 1 of 1

Complete all OSP section(s) listed for each activity that matches your current OCal activities or plans. Do not complete sections that are not applicable to your operation.

If your activities change in the future, you may need to either complete additional OSP forms or retire OSP forms.

	If you do this (more than one may apply):	Fill out these forms:
1)	Apply for CCOF OCal certification.	CCOF OCal Certification Contract
		OCal H5.0 Record Keeping for Handlers
2)	Contract other independently certified facilities to produce or	OCal H2.0 OCal Products
	label a product; I am a private label brand owner/marketer.	OCal Product Application
3)	I am a licensed distributor and I distribute already packaged	OCal H2.5 Brokered Products
	OCal products. I do not process, roll, repack, or relabel products.	OCal H2.6 Broker Suppliers
4)	Process, handle, roll, extract, infuse, or physically label OCal	OCal Handler Materials Application (OSP Materials List)
	products (for my own brand or for private label brands) at a facility I own or lease.	OCal Product Application
	•	OCal H2.0 OCal Products
		OCal H2.3 OCal Facility (for each location)
		OCal H4.0 OCal Practices (for each location)
5)	Use nonagricultural processing aids, packaging aids, sanitizers, or other additives in or on organic ingredients, OCal cannabis,	OCal Handler Materials Application (OSP Materials List)
	and/or or OCal cannabis products.	OCal Product Nonorganic Material Affidavit (if applicable)
	·	OCal Natural Flavor Affidavit (if applicable)
6)	Source ingredients for OCal products.	 OCal H2.0A Ingredient Suppliers (not applicable for distributors who do not process, repack, roll, or relabel)
7)	Source OCal cannabis ingredients from an uncertified broker, trader, wholesaler, distributor, or importer.	OCal Uncertified Handler Affidavit (for each uncertified handler)
8)	Use an uncertified storage facility to store unsealed OCal cannabis product or product in permeable packaging.	OCal Uncertified Handler Affidavit (for each uncertified facility)
9)	Source organic ingredients from an uncertified broker, trader, wholesaler, or distributor.	Exempt Handler Affidavit (for each uncertified handler)
10)	Process multi-ingredient products, including pre-rolls that contain more than one cannabis ingredient or non-cannabis ingredients.	OCal H2.0B Product Formulation (for each multi-ingredient product)
11)	Provide processing, handling, toll processing, or fee-for-services for OCal products that I do not own or take title to.	OCal H2.4 OCal Services
12)	Package products under a brand or private label owned by someone else.	OCal Co-Packer Application (for each brand not CCOF certified)
13)	Provide services to a CCOF certified operation and I want that operation to participate in management of my certification.	Contracted Partner Program Application (Optional)
14)	Cultivate or harvest OCal cannabis.	Guide to OCal Cultivator OSP Forms
		Complete applicable forms as directed

OCALB20, V2, 10/02/2023





www.ccof.org







17 CCR §22015, 22040, 22055-22070, & NOP §205.605, 205.606

OCAL PRODUCT APPLICATION

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.or	p. Page 1 of 1

Operation Name:				I	Date:
Product category, detail and be out of the directory on the OCa Once you are certified, refer to Attach all labels for all OCal pr Do you package any products	rand name will appear on your certail Contract. CCOF reserves the rigo your CCOF client profile for a corroducts, including retail, case label for private label brand/marketer coro do not process: For each multi-i	products. An Excel version of this do trificate; product category will appear that to modify product listings to reflect inplete list of all products currently includes, wholesale, and other labels that in sustomers? No Yes, complete ingredient product, submit an ingredient	in public directory of C t directory naming con- cluded in your certificat clude any reference to ete the OCal Co-Packe	COF certified operation ventions. ion. OCal.	ons, unless your operation opted the brand not certified by CCOF.
Product Category	Product Detail As listed on label	Brand Name	Packaging Form Retail Wholesale Bulk / Nonretail Not packaged	Product label claim	Name of: Certified location where product is processed (may be your own facility) OR Certified co-packer of private label product
Ex: Cookies	Ex: Vegan chocolate sea salt	Ex: Momo's Munchies	Retail	□Made w/ OCal* ☑OCal** □100% OCal**	Ex: Snack Shack
				Made w/ OCal*	
				☐ 100% OCal* ☐ OCal** ☐ 100% OCal**	

^{**} Products labeled "OCal" or "100% OCal" must contain only OCal-certified cannabis and a minimum of 95% organic ingredients. Nonorganic ingredients are subject to restrictions.



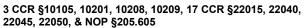
ccof@ccof.org





Page 1 of 1

^{*} Products labeled "Made with OCal" must contain only OCal-certified cannabis and at least 70% organic ingredients. The remaining ingredients must either be agricultural or approved nonorganic materials from the National List. "National List" means the list of allowed and prohibited substances included in National Organic Program regulations (7 CFR Sections 205.605 & 205.606).



OCAL HANDLER MATERIALS APPLICATION (OSP MATERIALS LIST

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

Page 1 of 3

Operation Name:	Date	:

- Submit this form with your initial application to describe nonorganic products or materials you plan to use. Private label owners who do not process products are not required to submit this form.
- CCOF will review all materials listed and provide you with a copy of your OSP Materials List listing approved materials.
- To add or remove products after your initial application, update your OSP Materials List directly. CCOF may require additional information regarding products you include on this form.

It is your responsibility to verify that all materials are allowed prior to use. Only materials included in your OSP Materials List may be used. This protects you and helps ensure you do not use noncompliant materials that will negatively affect your OCal certification.

A. Nonorganic Processing Aids

- List all nonorganic materials that directly contact OCal products (i.e. gases, extraction materials, processing/packaging aids, fining agents, acids, filtration aids, wash water additives, sanitizers).
- Include specific product names, functions, and manufacturer information.
- For each nonorganic material not previously approved by CCOF, submit an OCal Product Nonorganic Material Affidavit or OCal Natural Flavor Affidavit. Search for approved materials on Myccof.org.

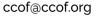
Function coor						
Brand Name	Manufacturer	General Material Name	(Filtration, wash water, processing aid fermentation, etc.)	CCOF Use Only		
Ex: Pure Sodium Bicarbonate	Baker's Best Friend	baking soda	processing aid			

Nonorganic Processing Aids - Nonorganic materials used in or on OCal products must not be the product of GMOs or produced with the use of irradiation or sewage sludge. Nonorganic materials must comply with any additional OCal/organic annotations or requirements.





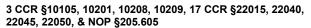
OCALB28, V1, R1, 04/04/2023











OCAL HANDLER MATERIALS APPLICATION (OSP MATERIALS LIST)

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

Page 2 of 3

B. Equipment Sanitizers & Detergents/Cleaners

- List all sanitizers and no-rinse detergents/cleaners used on OCal product contact surfaces, including transport, storage, handling or processing. Note that quaternary ammonia sanitizers must be completely removed from equipment by rinsing; testing for zero residue will be required.
- Do not list detergents and cleaners that are rinsed off and have no risk of coming in to contact with OCal products.
- Do not list detergents, cleaners, and sanitizers that are used on non-OCal product contact surfaces, such as employee bathroom or drain cleaners.

Brand Name	Manufacturer	Location, Surface, Function	Rinsed? (Y/N)
Example: Chlorine 123	The Cleaning Pros, LLC	Packing line sanitizer	N







ccof@ccof.org







OCAL HANDLER MATERIALS APPLICATION (OSP MATERIALS LIST



Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

Page 3 of 3

C. Facility Pest Control Materials – National List

- ▶ National List Pest Control Materials may be used only if preventative practices and mechanical/physical controls are not sufficient to prevent or control pests. "National List" means the list of allowed and prohibited substances included in National Organic Program regulations (7 CFR Sections 205.600 through 205.607).
- Only list materials that are used in OCal production and storage areas.

Material	Brand Name	Manufacturer	Location Used and Method of Application (e.g. storage, fogging, crack and crevice)
Lures			
Repellants			
Carbon dioxide			
Nitrogen gas (must be oil free grade)			
Vitamin D3 bait			
Boric acid			
Diatomaceous earth			
Soap products			
Pheremones			
Sticky Traps			

D. Facility Pest Control Materials – Non-National List

Non-National List Pest Control Materials may be used only if preventative practices, mechanical/physical controls, and National List materials are not sufficient to prevent or control pests. Justification for the use of non-National List Materials must be provided.

Only list materials that are used in OCal production and storage areas.

Brand Name	Manufacturer	Location Used and Method of Application (e.g. storage, fogging, crack and crevice)

OCALB28, V1, R1, 04/04/2023









831-423-4528



§10201

OCAL CO-PACKER APPLICATION

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

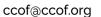
Page 1 of 1

Ор	ration Name: Date:						
>	Complete this form if you co-pack OCal products into another company's private label brand. You must send CCOF all OCal labels you pack into, even if CCOF is not listed as the certifier on the label.						
>	Complete one form for each private label owner. Attach all labels and describe the products below. If the brand owner is CCOF certified for the products you are co-packing, do not complete this form.						
>	Refer to your CCOF Client Profile for a complete list of all products currently included in your certification, available on Myccof.						
Α.	. Owner of Private Label Brand (Company Name):						
В.	Private Label Products Attach list if needed						
	Product Brand Name						
1)	s this Private Label Owner certified OCal for this product?						
	□ No. Skip to question B2. □ Yes. Complete this section.						
	a) Name the certifier and attach a complete, current OCal certificate:						
2)	☐ Certificate attached. Stop, this form is complete. Is CCOF's name or seal used on the label in association with the Private Label Owner?						
-,	☐ No. This form is complete. ☐ Yes. Complete this section.						
	a) Private Label Owner Address:						
	Private Label Owner City, State, Zip:						
	Private Label Contact Name and Title:						
	Private Label Contact Email:						
	Private Label Contact Phone:						
	F will send Notification to the Private Label Owner that the CCOF name and/or seal may only be used on the products listed on you certificate.						
,,,	ocianodio.						

Label Owner/Marketer provided that the product was grown or processed by CCOF certified operations. CCOF approval of the private label brand products does not constitute certification of the Private Label Owner/Marketer. CCOF Certified Operations must notify CCOF in advance of packing any new private label brand product. CCOF Certified Operations will be billed for the use of CCOF's name and/or seal on a Private Label per the **CCOF Certification Services Program Manual**.

Use of CCOF Name and Seal: The CCOF name and/or CCOF OCal seal may be used on labels of non-CCOF certified Private

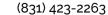
OCALB18, V1, R1, 09/09/2021







www.ccof.org







3 CCR §10201, 10300-10303, 17 CCR §22015, 22035, 22040 & 22055-22070, NOP§ 205.605, 205.606

OCAL PRODUCTS

OSP OCAI H2.0

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

Page 1 of 3

Operation Name:	Date:
Operation Name.	Date.

- Complete this form if you manufacture, process, label, or repack OCal products or contract another certified operation to process and/or package OCal products into your brand or label (private label brand owner/marketer).
 - You may only use labels, ingredients, processing aids, suppliers, and formulas approved by CCOF to produce OCal products. Submit updates for pre-approval before using.
 - Once certified, you are only approved to produce OCal products listed on your CCOF client profile, available on Myccof.org.
 Pre-approval is required for new products; submit a Ocal Product Application.
 - You must maintain current organic and/or OCal certificates for all suppliers, contracted co-packers, certified private label brand owners, and any other certified organic or certified OCal operation you work with.

Α.	Labels and Ingredients				
1)	Attach all labels for all OCal products, including retail, case labels, wholesale, and other labels that include any reference to OCal.				
	Submit all revisions to CCOF prior to printing.				
	☐ Attached ☐ Not applicable, no package.				
2)	For ingredients and processing aid materials listed on your OCal H2.0A Ingredient Suppliers and OCal Handler Materials Application (OSP Materials List), do you source and procure the ingredients and materials?				
	☐ Yes ☐ No ☐ Not applicable, we do not source ingredients or materials.				
	a) If no, indicate who sources ingredients or materials:				
3)	Do you make cannabis extracts? □ No. Skip to question 4. □ Yes				
	a) If producing extracts, mark all extraction methods that you use:				
	☐ Water ☐ Steam ☐ Ice ☐ Organic butter or organic food-grade oil (list on H2.0A)				
	Organic ethanol (list on H2.0A)				
	☐ Carbon dioxide or dry ice (list on OCal Handler Materials Application (OSP Materials List))				
	☐ Mechanical methods (describe):				
	Only the methods listed above may be used to extract cannabis.				
4)	Are you a private label brand owner/marketer who contracts an independently certified co-packer to produce your branded products?				
	☐ Not applicable, not working with co-packers. Skip to section B.				
	☐ Yes, attach OCal certificates for all co-packers who produce products for you at their facility. ☐ Attached				
	Certificates for co-packed products must list branded products specifically. You must request updated certificates annually.				
5)	As a private label brand owner/marketer , do you purchase, take title to, or take physical possession of ingredients?				
	☐ No, co-packer sources and procures ingredients. Skip to section D.				
	☐ Yes, I source ingredients				
	a) If sourcing ingredients, choose all that apply:				
	☐ I select suppliers				
	☐ I purchase ingredients				
	☐ I take physical possession of ingredients for storage and ship them to my co-packer				
	b) If sourcing ingredients, select which of the following applies:				
	☐ I provide all organic and/or OCal supplier information to my co-packer and each shipment to the co-packer directly connects back to the certified supplier. Skip to section D. <i>H2.0A form not required</i> .				
	☐ I do not provide organic and/or OCal supplier information to my co-packer. H2.0A form required for organic and/or OCal ingredients, CCOF will list your organic and/or OCal ingredients on your OCal certificate.				

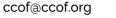
B. Monitoring Suppliers & Fraud Prevention

- 1) Describe your organic and/or OCal supplier certificate management system. You must ensure that all certificates list the specific products you source, are current (issued within the last 12 months), and complete.
 - a) Who at your company is responsible for approving new organic and/ or OCal suppliers?

Prior to purchasing, you must review the organic and/or OCal certificate to ensure it is current and complete. New suppliers must be added to your H2.0A form and be approved by CCOF.

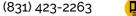
OCALB21, V2, 10/02/2023 Page 1 of 3













3 CCR §10201, 10300-10303, 17 CCR §22015, 22035, 22040 & 22055-22070, NOP§ 205.605, 205.606

OCAL PRODUCTS

OSP OCal H2.0

Find all forms at $\underline{www.ccof.org/documents}$. Send completed forms to $\underline{inbox@ccof.org}$.

Page 2 of 3

b) How often do you switch suppliers, add new suppliers, make one-off purchases or do "spot purchasing" to prevent Frequent changes may result in increased audit trail verification at inspection.				
	c)	How frequently do you review certificates for existing suppliers to ensure they are complete and current? Must review annually for active suppliers, at a minimum.		
2)	Sou	you purchase or receive organic and/or OCal products from uncertified brokers, traders, wholesalers, distributors, or importers? urcing through uncertified handlers requires additional audit trail verification at inspection and will incur additional fees. No Yes. Attach an OCal Uncertified Handler Affidavit (OCal UHA) for each uncertified supplier of OCal cannabis ingredients, as applicable.		
	□ ` a)	Yes. Attach an Exempt Handler Affidavit (EHA) for each uncertified supplier of organic non-cannabis ingredients, as applicable. If yes, how will you ensure that only certified suppliers are used by the uncertified handler? Check all that apply. Your OSP must list all certified suppliers, including products sourced through uncertified handlers. Audit trail records must link directly back to the last certified operation. I do not place an order until certified supplier is identified by uncertified handler, I have determined the organic and/or OCal certificate is legitimate and complete, and new suppliers are approved by CCOF. For any delivery that cannot be traced back to the certified supplier, I refuse or hold shipment until the certified supplier is verified. Other (describe):		
3)	☐ I Imp pro	you purchase or receive any imported organic ingredients or products; grown or processed outside of the USA? No, Skip to section C. Yes. Complete this section. Norted ingredients are at higher risk of contamination and fraud. Additional audit trail documentation may be required to show that ducts were not treated upon entry to the USA. Refer to OCal H5.0 Record Keeping for Handlers. If yes, are you the importer of record? Yes. Attach Import Permit for each product listing Conditions of Entry (if applicable) and Skip to section C. No, I purchase ingredients from importers or suppliers. Continue to question 4.		
4)	☐` If yo	importers/suppliers located in the USA? Yes, located in USA □ No, located outside USA ou directly purchase or receive organic product from an importer or supplier located outside of the USA, you must maintain audit I documentation described on the H5.0 form, even if the importer is certified organic. If importer is located in the USA, is the importer certified organic? □ Yes □ No. If the importer is not certified organic, you must maintain audit trail documentation described on the H5.0 form.		
C.	Fo	rmulas		
1)	Chapac pac For note You	formulas listed on OCal H2.0B Product Formulation Sheet(s), do you control recipes, i.e. own or manage recipes? anges to formulas must be pre-approved by CCOF. H2.0B form not required for private label owner/marketer working with a cocker; only co-packer is required to submit formula. Yes No Some Not applicable, single ingredient products only. Not applicable, private label owner/marketer. finished products labeled "OCal" containing nonorganic ingredients listed on 205.606 or 205.605 (if commercial availability is ed), attach an OCal H2.7 Commercial Availability form for each nonorganic ingredient. Examples: flavors, colors, yeast. It must continually search for organic versions on an annual basis. Attached Not applicable, no nonorganic ingredients.		





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3 CCR §10201, 10300-10303, 17 CCR §22015, 22035, 22040 & 22055-22070, NOP§ 205.605, 205.606

OCAL PRODUCTS

OSP SECTION: OCal H2.0

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

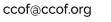
Page 3 of 3

D. Storage Facilities

1)	If off-site facilities are used to store organic and/or OCal ing this table, or provide an attachment with this information.	redients or products while unsealed or in pern	neable packaging, complete
	☐ Not applicable ☐ Attached		
	Storage Facility Name & Location	Ingredients/Products Stored	Documentation
			☐ OC* ☐ OCal Cert*
			☐ OCal UHA**
			☐ OC* ☐ OCal Cert*
			☐ OCal UHA**
			☐ OC* ☐ OCal Cert*
			☐ OCal UHA**

OCALB21, V2, 10/02/2023 Page 3 of 3









(831) 423-2263



^{*}Attach the Organic Certificate (OC) and/or OCal Certificate for each certified storage facility listed above. You must request updated certificates annually.

^{**}For any non-certified facilities listed above, attach a CCOF OCal Uncertified Handler Affidavit (OCal UHA). The OCal UHA must be completed by the uncertified storage facility manager.



INGREDIENT SUPPLIERS

OSP SECTION: OCal H2.0 A

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

Page 1 of 1

Ор	Operation Name:	Date:
•	List all suppliers and ingredients used in OCal products, including "work in process" ingredients made in house.	
	1. Attach organic and/or OCal certificates for all certified vendors, manufacturers, and suppliers. Attached	
	Certificates must be dated within the last 12 months and must list the specific product you use. You must re-	quest updated certificates annually.
	 For any uncertified supplier of organic and/or OCal ingredients, list the certified supplier who provides the inguitable. ☐ Attached 	gredient and attach an OCal Uncertified Handler Affidavit (OCal
	3. For each multi-ingredient ingredient, submit an ingredient statement from the manufacturer to compare to yo	our label.
•	► An Excel version of this document is available online or by contacting CCOF.	
\blacktriangleright	 Update this master list as you add and remove suppliers. Highlight new suppliers or products in yellow and remo 	ved suppliers or products in blue to simplify updates.

List all nonorganic processing aids, sanitizers, and packaging aids that contact OCal products on your OCal Handler Materials Application (OSP Materials List). For each nonorganic ingredient or processing aid not previously approved by CCOF, submit a OCal Product Nonorganic Material Affidavit or OCal Natural Flavor Affidavit. Private label owners who do not manufacture are not required to submit nonorganic ingredients or processing aids for review.

Vendor	Manufacturer/Supplier If vendor is not certified organic and/or OCal	Ingredient Name List one ingredient per line, match organic and/or OCal certificate	Organic and/or OCal? (Yes, No)	Date Added to OCal H2.0A	Certifier Optional, for your use	CCOF Use Only
Ex: Uncertified ABC distributor	Ex: XYZ Dairy Supply	Ex: unsalted organic butter	Yes	7/15/2020	CCOF	

OCALB22, V1, R1, 04/04/2023







Page 1 of 1

PRODUCT FORMULATION

SECTION: OCal H2.0

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

Page 1 of 1

- Use this form for each multi-ingredient product you manufacture, including house-made ingredients, e.g. cannabis infused butter. Also use this form for pre-rolls that contain more than one cannabis ingredient or non-cannabis ingredients. Private label/marketers who do not manufacture products are not required to complete this form; instead submit an ingredient statement from the manufacturer.
- An Excel version of this document is available online or by contacting CCOF. Complete one OCal H2.0B form for each product.
- See formulas below headers to guide calculations. Weight or fluid volume unit of measurement must be the same for each ingredient, e.g. grams.
- If you are unclear on the % organic content of an ingredient (B), contact the supplier's organic certifier to request confirmation.

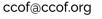
Affidavit or OCal Natural Flavor Affidavit. Search for approved m				sai Product Nonorga	nic Material
Product Name:					
Label Brand Name(s) or if house-made ingredient for other produc	ets:				
Ingredient As listed on H2.0A form Do not list salt or water	Quan (A	(c	eight, %, or fluid volume	% Organic or OCal Content of Ingredient (B)	Ingredient's Org. Contribution to Product (C) = (A)x(B)
Ex: cannabis infused chocolate chips	20	gr	ams	95% OCal	19 = (20x0.95)
Total of non salt and water contents (D) : <i>Total of column (A)</i> Quantity Salt (E) :				Organic Contribution (G): Total of column (C)	
Quantity Water (F) :				Total Organic %:	
Total Ingredient Quantity: Add up (D), (E) and (F)				Divide (G)/(D)	
				Round down to near number "OCal" must be >95 "Made with OCal" morganic	% organic
List processing aids used to the right, including packaging Nitrogen) if not listed above. Only ingredients and material					

appearing on your OCal Handler Materials Application (OSP Materials List) may be used:

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OCALB32, V1, 10/5/2021 Page 1 of 1













OCAL FACILITY

OSP SECTION: OCal H2.3

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

Page 1 of 2

Ор	peration Name:		_ Date:	
▶	Complete this form if you take physical possession of proc Complete one form for each facility/location.	ucts you sell or distribute, or run a mai	nufacturing or ha	andling facility.
Α.	General Information			
1)	Facility Name:			
	Facility Tax ID:			
2)	Site Address:		City:	
	State/Province: 2	ip/Postal Code:	Country:	
3)	 Manufacturers are required to register with CDPH after ac and cultivators. ☐ Registration in process (manufacturers) a) CDPH OCal manufacturing registration number: 	-		
4)	Contact (Name/Title):			
4) 5\	Dhana			
5)	Phone:	Fax:		
6)	Email(s):			
7)	Type of manufacturing or handling:			
10)	Do you (check one): ☐ Own this facility ☐ Lease this facility Do you (check one or both): ☐ Own the products manufactured and/or handled here Is this facility: ☐ OCal and organic only ☐ OCal/organic and non-OCa a) Do you manufacture or handle identical OCal and nor ☐ Yes ☐ No b) Do you manufacture or handle organic products ident ☐ Yes ☐ No 1. If yes, list products:	al/nonorganic -OCal products?	dling services	
11)	Is this facility currently certified OCal by another certifier?			
10\	No ☐ Yes, provide name of certifier:Has this facility ever previously applied for or been granted	LOCal cortification to any cortification	agonov?	
12)	☐ No. Skip to section B. ☐ Yes. Complete this section	·	agency?	
13)	 a) Was your certification or the certification of products of Did you surrender your certification with outstanding r c) Was your application for OCal certification ever issued d) Did you withdraw your application for certification with lf you answered yes to a, b, c, or d above, please list the yof all corrective actions: 	r this facility ever suspended or revoke on-compliances or conditions? d a denial? outstanding non-compliances?	☐ Yes ☐ Yes ☐ Yes	│ No │ No │ No │ No │ and a description
	Year(s):			Letters Attached
	Corrective actions taken:			
B. 1)	Site Plan and Product Flow Attach 8.5 x 11" site map(s) showing all OCal and organic ☐ Map attached	manufacturing and/or handling and sto	orage areas (ma	/ be hand drawn).

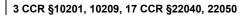












OCAL FACILITY

OSP SECTION: OCal H2.3

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

Page 2 of 2

2)	Attach either a complete written description or a schematic product flow chart that describes or shows where and how the product is received, stored, extracted, infused processed, packaged, and warehoused.
	• The flow chart(s) must include all OCal production steps. Identify all equipment, machinery, grading stations, and storage areas, and indicate where ingredients are added or processing aids are used.
	Submit a separate flow chart for each production type. Attached
3)	Describe how any "work in process" (WIP) is identified as OCal and protected from prohibited substances:
4)	For each material used in or on non-OCal and/or nonorganic products in this facility, describe below how you prevent accidental use during OCal processing, and how this can be verified at inspection:
5)	Identify any other material used during any OCal processing step that is not yet otherwise disclosed:

OCALB23, V1, 10/01/21











831-423-4528

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

Page 1 of 2

Ор	erat	ion Name: Date:
>		nplete this form if you provide processing or handling services for OCal product that you do not own or take title to or if you vide fee for service processing.
۹.	Ge	neral Information
1)	Des	cribe specific services you provide (i.e. extraction, drying, curing, trimming). Services may be added to your CCOF client profile.
2)	Doe	es your service include formulating or processing multi-ingredient products?
	3	Yes. Stop, do not complete this form. Complete OCal H2.0 OCal Products, OCal Product Application, OCal H2.0A Ingredient Suppliers, and OCal H2.0B Product Formulation.
		No. Complete this form.
		oducts all nonorganic materials that directly contact organic ingredients, OCal cannabis, and/or or OCal cannabis products on your <u>OCal</u>
1)		all hororganic materials that directly contact organic ingredients, Ocal carmabis, and/or or Ocal carmabis products on your <u>Ocal carmabis products on your Ocal carmabis products on your Ocal carmabis products on your Ocal carmabis, and/or or Ocal carmabis products on your <u>Ocal carmabis</u>, and or Ocal carmabis products on your <u>Ocal carmabis</u>, and/or or Ocal carmabis products on your <u>Ocal carmabis</u>, and/or or Ocal carmabis products on your <u>Ocal carmabis</u>, and/or or Ocal carmabis products on your <u>Ocal carmabis</u>, and/or or Ocal carmabis products on your <u>Ocal carmabis</u>, and or Ocal carmabis products on your <u>Ocal carmabis</u>, and or Ocal carmabis products on your <u>Ocal carmabis</u>, and or Ocal carmabis products on your <u>Ocal carmabis</u>, and or Ocal carmabis products on your <u>Ocal carmabis</u>, and or Ocal carmabis products on your <u>Ocal carmabis</u>, and or Ocal carmabis products on your <u>Ocal carmabis</u>, and or Ocal carmabis products on your <u>Ocal carmabis</u>, and ocal carmabis products on your <u>Ocal carmabis</u>, and ocal carmabis products on your <u>Ocal carmabis</u>, and ocal carmabis products of your <u>Ocal carmabis</u>, and ocal carmabis products on your <u>Ocal carmabis</u>, and ocal carmabis products of your <u>Ocal carmabis</u>, and your <u>Ocal ca</u></u>
		y materials included on your Handler Materials Application (OSP Materials List) may be used. It is your responsibility to verify that naterials are allowed before you use them.
		Attached
2)		you purchase or supply ingredients as part of your service?
	⊔ ' a)	Yes ☐ No ☐ Sometimes If sometimes, please explain:
	a)	ii sometimes, piease explain.
	b)	If yes or sometimes, complete OCal H2.0 OCal Products, OCal Product Application, and OCal H2.0A Ingredient Suppliers, and skip to section C.
	c)	If No, how do you verify that incoming customer products are certified organic and/or OCal? Check all that apply.
		Your inspector will verify that you maintain current organic and /or OCal certificates for your customers and that certificates list the products or parcels represented as organic and/or OCal.
		Request CCOF approval of each new customer prior to accepting or handling any organic and/or OCal shipments and verify that product or parcel is listed on certificate prior to providing service.
		Request a current organic and/or OCal certificate with each incoming shipment and verify that product or parcel is listed on certificate prior to providing service.
		Request a current organic and/or OCal certificate annually and verify that product or parcel is listed on certificate prior to providing service.
		Other (describe):
3)	Do I	uncertified brokers, traders, wholesalers, distributors use your services for OCal products?
		viding services to uncertified handlers requires additional audit trail verification at inspection.
		No Yes, attach an Ocal Uncertified Handler Affidavit (OCal UHA) for each uncertified handler
	a)	If yes, how will you ensure that only certified suppliers are used by the uncertified handler? Check all that apply. Audit trail records must link directly back to the last certified operation.
		☐ I do not provide service until certified supplier is identified by uncertified handler and I have determined the OCal certificate is legitimate and complete.
		For any delivery that cannot be traced back to the certified supplier, refuse or hold shipment until the certified supplier is verified.
		Other (describe):
C.	l al	pels
1)		you label products or repack products into other packaging that displays OCal claims?
,		Yes ☐ No ☐ Sometimes ☐ Not applicable, no package
	a)	If sometimes, please explain:
	b)	If yes or sometimes, complete the OCal Product Application and attach label(s).
	,	Labels must be pre-approved by CCOF prior to use.
)C	ALB3	3, V1, 10/05/2021 Page 1 of 2



OCAL SERVICES

OSP SECTION: OCal H2.4

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

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D. Storage Facilities

1)	If off-site facilities are used to store organic ingredients, OCal capermeable packaging, complete this table or provide an attachm		e unsealed or in
	☐ Not applicable ☐ Attached		
	Storage Facility Name & Location	Ingredients/Products Stored	Documentation
			□ OC* □ UHA**
			□ OC* □ UHA**
			□ OC* □ UHA**

OCALB33, V1, 10/05/2021











^{*}Attach the OCal Certificate (OC) for each certified storage facility listed above.

^{**}For any non-certified facilities listed above, attach a CCOF <u>OCal Uncertified Handler Affidavit</u> (OCal UHA). OCal UHAs must be signed by the uncertified storage facility manager.

BROKERED PRODUCTS

OSP OCAl H2.

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

Page 1 of 2

Ор	erat	ion Name: Date:
•	Cor	nplete this form if you are a licensed OCal distributor who does not process, roll, repack, or relabel.
Α.	Ac	tivities
1)	Sel	ect all that describe your activities:
		Broker 🔲 Trader 🔲 Wholesaler 🔲 Distributor
		take title to products $\ \square$ I take physical possession of products $\ \square$ I drop ship products to customers directly from suppliers
		arrange sales between buyers and sellers without taking title or possession
		Exclusive sales agent for (operation name):
		Other (describe):
В.	Мо	nitoring Suppliers & Fraud Prevention
	•	You must maintain current OCal certificates for all suppliers, certified private label brand owners, and any other certified operation you work with.
	•	You must notify CCOF of new suppliers quarterly at a minimum. If your certificate management system is insufficient, more frequent updates will be required.
1)		scribe your OCal supplier certificate management system. You must ensure that all certificates are current (issued within the last months) and complete, and listing the specific products you source.
	a)	Who at your company is responsible for approving new OCal suppliers?
		Prior to purchasing, you must review the OCal certificate to ensure it is current and complete. New suppliers must be added to your H2.6 form and approved by CCOF.
	b)	How often do you switch suppliers, add new suppliers, make one-off purchases or do "spot purchasing" to prevent shortages?
		Frequent changes may result in increased audit trail verification at inspection.
	c)	How frequently do you review certificates for existing suppliers to ensure they are complete and current? Must review annually for active suppliers, at a minimum.
2)	Do	you purchase OCal products from uncertified brokers, traders, wholesalers, or distributors?
	Soi	rcing through uncertified handlers requires additional audit trail verification at inspection and will incur additional fees.
		Yes. Attach an OCal Uncertified Handler Affidavit (OCal UHA) for each uncertified supplier of OCal cannabis or attach an empt Handler Affidavit for each uncertified supplier of Organic non-cannabis ingredients, as applicable.
	a)	If yes, how will you ensure that only certified suppliers are used by the uncertified handler? Check all that apply.
		Your OSP must list all certified suppliers, including products sourced through uncertified handlers. Audit trail records must link directly back to the last certified operation.
		☐ I do not place an order until certified supplier is identified by uncertified handler and I have determined the OCal certificate is legitimate and complete.
		For any delivery that cannot be traced back to the certified supplier, I refuse or hold shipment until the certified supplier is verified.
		Other (describe):
C.	На	rvest and Transportation
1)	Do	you harvest OCal crops and/or contract out harvest of OCal crops?
		cords and OCal certificates must show that harvested parcels are certified and harvest equipment is cleaned or purged.
		No Yes. Complete sections A & B on OCal C6.1 Harvest & Transport



OCALB24, V2, 10/2, 10/02/2023









BROKERED PRODUCTS

OSP SECTION: OCal H2

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

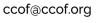
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Are any products shipped in unsealed or in permeable packa ; or vehicles (ex: RPCs, tankers, railcars)?	ging (ex: clamshells, open boxes, trucks) or in reu	sable containers
· · · · · · · · · · · · · · · · · · ·		ditionally,
☐ Yes ☐ No		
		n sanitizer residue,
☐ Clean truck affidavit ☐ Cleaning and sanitizing mater	rial records	entation
☐ Truck cleaning procedures ☐ Wash tags ☐ Tanke	er Seals	go hold
Other (describe):		
Storage Facilities		
If off-site facilities are used to store OCal products while unsea attachment with this information.	aled or in permeable packaging, complete this tal	ole, or provide an
☐ Not applicable ☐ Attached		
Storage Facility Name & Location	Ingredients/Products Stored	Documentation
		□ OC* □ UHA**
		□ OC* □ UHA**
		□ OC* □ UHA**
	or vehicles (ex: RPCs, tankers, railcars)? All transload facilities where unpackaged product is transferred transporters that combine or split unpackaged loads must be compacted by the compact of the combine of split unpackaged loads must be compacted by the compact of the combine of split unpackaged loads must be compacted by the compact of the co	All transload facilities where unpackaged product is transferred into another container must be certified OCal. Add transporters that combine or split unpackaged loads must be certified organic. Yes No a) If yes, how do you ensure contamination and commingling are prevented during transport (ex: protection from gases, liquids)? Check all that apply. Transporter records must be available for review at inspection. Clean truck affidavit Cleaning and sanitizing material records Certified supplier provides docum Truck cleaning procedures Wash tags Tanker Seals Marine Surveyor report for vessel care Other (describe): Storage Facilities If off-site facilities are used to store OCal products while unsealed or in permeable packaging, complete this tat attachment with this information. Not applicable Attached





OCALB24, V2, 10/2, 10/02/2023











^{*}Attach the OCal Certificate (OC) for each certified storage facility listed above. You must request updated certificates annually.

^{**}For any non-certified facilities listed above, attach a CCOF OCal Uncertified Handler Affidavit (OCal UHA). An OCal UHA must be completed by the uncertified storage facility manager.

OSP OCal H2.6

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

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Operation Name:	Date:	
-----------------	-------	--

- Complete this table if you are a licensed OCal distributor who does not process, roll, repack, or relabel.
- ▶ List your OCal suppliers and products in the table below. An <u>Excel version</u> of this document is available online or by contacting CCOF.
- Once certified, you are only approved to sell the OCal products listed on your CCOF client profile, available on MyCCOF.org.
 Product name and brand name will appear on your certificate; product category will appear in public directory of CCOF certified operations. CCOF reserves the right to modify product listings to reflect directory naming conventions.
- ▶ Update this table as you add new suppliers. Highlight new suppliers or products in yellow and removed suppliers or products in blue to simplify updates. Once certified, provide an updated version of this list to CCOF quarterly at a minimum. More frequent updates may be required.

Vendor	Manufacturer/Supplier If vendor is not certified OCal	Product Name List one product per line, match supplier certificate, include brand name if any	Packaging Form Retail label Wholesale label Bulk / Nonretail label Not packaged	Product claim Must match supplier certificate	CCOF approved?
Ex: Uncertified ABC distributor	Ex: ABC CannaFarm	Ex: pre-rolls – Momo's Munchies brand	Retail label	☐Made w/ OCal ☑OCal ☐100% OCal	
				☐ Made w/ OCal ☐ OCal ☐ 100% OCal	
				☐ Made w/ OCal ☐ OCal ☐ 100% OCal	
				☐ Made w/ OCal ☐ OCal ☐ 100% OCal	
				☐ Made w/ OCal ☐ OCal ☐ 100% OCal	
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				☐ Made w/ OCal☐ OCal☐ 100% OCal☐ 100% OCal☐ ☐ 100% Ocal☐	

OCALB25, V2, 10/02/2023 ccof@ccof.org



www.ccof.org





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17 CCR § 22035, 22055 & NOP § 205.2, 205.605,

COMMERCIAL AVAILABILITY

OSP SECTION: OCal H2.7

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

Page 1 of 1

Op	eration Name: Date:			
he Pro	norganic ingredients are allowed in or on cannabis products labeled "OCal" only when organic forms are not commercially available, ingredient appears on the National Organic Program's National List §205.605/ 205.606, and other technical criteria are met (per <u>OCal duct Nonorganic Material Affidavit</u>). If an organic version is commercially available, you must use it. Cost cannot be a factor in ermining commercial availability.			
Col	nmercially available – "The ability to obtain a production input in an appropriate form, quality, or quantity to fulfill an essential function".			
Complete one OCal H2.7 form for each 205.606 National List nonorganic agricultural ingredient used in products labeled "OCal" other material requiring commercial unavailability documentation, i.e. yeast, flavors, silicon dioxide. You are required to search forganic ingredients at least on an annual basis. You may create a spreadsheet to track this information if you source multiple ingredients.				
۹.	Organic Ingredient Search			
1)	Nonorganic ingredient:			
2)	Used in the following "OCal" product(s):			
3)	Describe your search (potential suppliers, dates, search methods).			
	You must contact at least three (3) potential organic sources and use resources such as the <u>USDA Organic Integrity Database</u> , or explain why this search is not possible. If an organic version is commercially available, you must use it. Cost cannot be a factor in determining commercial availability.			
1)	Which of the following makes this product unavailable organically? Check all that apply. Form Quality Quantity Essential Function a) Explain your answer using specific details.			
В.	Ongoing Annual Monitoring Plan			
1)	Describe your ongoing plan to find an organic ingredient and attach records that will be used to document your search and any product testing. Attached			
	You must contact at least three (3) manufacturers annually and use resources such as the <u>USDA Organic Integrity Database</u> . Records or documents of continued efforts to locate an organic source will be reviewed at your annual inspections.			

OCALB34, V1, 10/05/2021













3 CCR §10201, 10208, 10209, 17 CCR §22040, 22045, 22050, & NOP §205.605

COMMINGLING AND CONTAMINATION SECTION: OCAL H4.0

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Ор	peration Name: Date:	·
>	Complete this form if you take physical possession of products you sell or distribute, or run a manufacturing or hand Facility covered by this form :	dling facility.
Α.	Harvest	
1)	Do you harvest OCal cannabis and/or contract out harvest of OCal cannabis?	
	Records and OCal certificates must show that harvested parcels are certified and harvest equipment is cleaned or	purged.
	No ☐ Yes. Complete sections A & B on OCal C6.1 Harvest & Transport	
В.	Receiving	
1)	Do any organic ingredients, OCal cannabis, and/or or OCal cannabis products arrive unsealed or in permeable pa clamshells, open boxes, trucks) or in reusable containers or vehicles (ex: RPCs, tankers, railcars)?	ackaging (ex:
	Transporters that combine or split unpackaged loads must be certified organic. ☐ Yes ☐ No	
	a) If yes, how do you ensure contamination and commingling were prevented during transport (ex: protection from gases, liquids)? Check all that apply.	n sanitizer residue,
	Transporter records must be available for review at inspection, ex: bill of lading, manifest, transaction certificat delivery records, invoices, chain of custody, truck and trailer numbers, lot number.	e, shipping and
	☐ Clean truck affidavit ☐ Cleaning and sanitizing material records ☐ Certified supplier provides document	ntation
	☐ Truck cleaning procedures ☐ Wash tags	
	Other (describe):	
2)	At receiving, how do you verify that incoming organic ingredients, OCal cannabis, and/or or OCal cannabis product approved suppliers, including shipments from uncertified brokers, traders, wholesalers, or distributors? Check all the a description.	
	Receiving records must document verification and be available for review at inspection.	
	☐ Approved organic and/or OCal supplier list verified against BOL or packaging/container labels	
	Current organic and/or OCal certificate required with each shipment, supplier verified as approved, certificate verificate verified as approved, certificate verified as approved.	rified to list
	For shipments from uncertified vendors, record uncertified handler, certified supplier, and certified supplier lot #	on receiving log
	Other (describe):	
C.	Storage	
1)	How do you ensure organic ingredients, OCal cannabis, and/or or OCal cannabis products are not commingled with and/or non-OCal products in storage?	h nonorganic
	☐ Not applicable, all organic/OCal ☐ All products sealed and labeled	
	☐ Storage areas dedicated to, and identified as, organic/OCal	
	☐ Other (describe):	
ח	Packaging & Shipping	
ا. (1)	Are all packaging materials free of prohibited materials (ex: fungicides, preservatives, fumigants)? Contact packagi	ng manufacturer if
' /	you are unsure.	ng manalaotalor n
	☐ Yes ☐ Not applicable, no packaging	
2)	How do you prevent commingling of outgoing OCal and non-OCal cannabis products?	
	☐ OCal never shipped with non-OCal ☐ Clearly labeled packages/pallets ☐ OCal product sealed or shrink with non-OCal ☐ Clearly labeled packages/pallets	rapped
	☐ Separate areas in vehicle ☐ Other (describe):	

OCALB26, V1, 10/1/2021









831-423-4528



3 CCR §10201, 10208, 10209, 17 CCR §22040, 22045, 22050, & NOP §205.605

COMMINGLING AND CONTAMINATION SECTION: OCAL H4.0

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3)	Are any products shipped in unsealed or permeable packaging (ex: open boxes, trucks) or in reusable containers/vehicles (ex: RPCs, tankers, railcars)?
	Transporters that combine or split unpackaged loads must be certified organic, except milk haulers.
	☐ Yes ☐ No
	a) If yes, how do you ensure contamination and commingling will be prevented during transport (ex: protection from sanitizer residue, gases, liquids)? Check all that apply.
	Transporter records must be available for review at inspection, ex: bill of lading, manifest, transaction certificate, shipping and delivery records, invoices, chain of custody, truck and trailer numbers, lot number.
	☐ Clean truck affidavit ☐ Cleaning and sanitizing material records ☐ Certified supplier provides documentation
	☐ Truck cleaning procedures ☐ Wash tags ☐ Tanker Seals
	Other (describe):
E.	Water and Water Additives
Wa	ter used in OCal production must be potable and meet Safe Drinking Water Act (SDWA) standards.
1)	Is water used as an ingredient in OCal products or used in direct contact with organic ingredients, OCal cannabis, and/or or OCal cannabis products, ex: wash water or crops washed in the field?
	☐ No. Skip to question E4. ☐ Yes. Complete this section.
	a) If yes, do you add any substances to water that contacts organic ingredients, OCal cannabis, and/or or OCal cannabis products, ex: peracetic acid, hydrogen peroxide, chlorine?
	□ No
	Yes. List materials on your OCal Handler Materials Application (OSP Materials List).
	b) Do you add chlorine to water that directly contacts organic ingredients, OCal cannabis, and/or or OCal cannabis products?
	☐ No ☐ Yes. Attach records or SOP used for monitoring chlorine. Records or SOP will be verified by your inspector.
	1. If yes, do products undergo a final fresh water rinse?
	Residual chlorine levels in water at last point of contact must not exceed the maximum residual disinfectant limit under the Safe Drinking Water Act.
	☐ Yes ☐ No, chlorine never added to water above SDWA limits
2)	If you treat water on-site (ex: RO, UV, carbon filtration, water softeners, pH adjustment), does treated water meet Safe Drinking Water Act Standards? Contact treatment manufacturer if you are unsure.
	☐ Yes. CCOF may request documentation that treated water meets Safe Drinking Water Act standards.
	□ N/A, water not treated
3)	Does steam contact organic ingredients, OCal cannabis, and/or or OCal cannabis products or packaging?
	☐ No. Skip to section F. ☐ Yes. Complete this section.
	 If yes, and boiler chemicals are used, attach an ingredient statement for each. List materials on your <u>OCal Handler Materials</u> <u>Application (OSP Materials List)</u>.
	☐ Attached ☐ N/A, no boiler used
	b) If volatile boiler chemicals are used, describe how you prevent organic ingredients, OCal cannabis, and/or or OCal cannabis products from contacting volatile boiler chemicals, e.g. by shutting off boiler chemical feed prior to OCal runs (specify # of hours) and conducting condensate tests:

OCALB26, V1, 10/1/2021

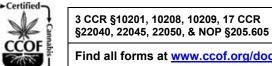




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COMMINGLING AND CONTAMINATION SECTION: OCAL H4.0

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F. Equipment Cleaning and Sanitization

1)	List all equipment and surfaces that organic ingredients, of handling, processing, transport, or storage, including thappens before OCal runs or provide this information as a	grading or sa	mpling equip	ment. Desc	
	Organic and/or OCal Equipment/Contact Surface (ex: totes, processing lines, reused storage containers)	Cleaned? (Y/N)	Purged*? (Y/N)	Rinsed? (Y/N)	Documentation (ex: wash tag, cleaning/production log)
2)	*Purge – To expel nonorganic and/or non-OCal product p equipment (when equipment cannot be cleaned). If any surfaces listed above are NOT either cleaned or pu				
, 2)	If any imment is nurged between runs describe the nurge	nracedure in	aludina tha r	araduat and	guantity nurged where it goes and
3)	If equipment is purged between runs, describe the purge how this is documented. Attached	proceaure, in	icluding the p	oroduct and	quantity purged, where it goes, and
4)	For OCal contact surfaces listed above do you as any		-1-4	-l	
4)	For OCal contact surfaces listed above, do you use any s		_		
5)	No ☐ Yes. List each material on your OCal Handler How do you ensure residues from prohibited materials (ex surfaces?				
	☐ Not applicable ☐ Rinsing (required for quaternary a	mmonia) 🔲	Complete d	rying of alco	phol-based sanitizers
	Residue Testing:	Other testin	g:		
6)	If cleaning is NOT documented, explain why not:				
G.	Facility Pest Management				
1)	Which of the following management practices do you use	-			
	Remove pest habitat, food sources, and breeding area			•	
	Manage environmental factors to prevent pest reprodu	iction (tempe	rature, light,	numidity, at	mosphere, air circulation)
٥,	Other (describe):		1 6		W 00 L L
2)	Which of the following practices do you use to control pe \[N/A, none used \[\] Mechanical or physical controls,	•		•	nd/or OCal storage areas?
	Lures and repellents using nonsynthetic or synthetic si	• .			al List List lures and renellents that you
	apply in OCal production and organic and/or OCal stor				
3)	Are the measures listed above sufficient to prevent or cor	trol pests?			
	☐ Yes☐ No☐ N/A, none useda) If no, list pest control materials from the National List	st that you ar	only in OCal	nroduction :	and storage areas on your OCal
	Handler Materials Application (OSP Materials List included in National Organic Program regulations (7). "National L	ist" means tl	he list of allo	owed and prohibited substances
	Prevention and control methods described in G1 and used. National List materials include carbon dioxide, products.				

OCALB26, V1, 10/1/2021













3 CCR §10201, 10208, 10209, 17 CCR §22040, 22045, 22050, & NOP §205.605

COMMINGLING AND CONTAMINATION SECTION: OCAL H4.0

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4)	Are National List materials on your OSP Materials List sufficient to prevent or control pests? Yes No N/A, none used a) If no, explain below (or attach justification). List pest control materials not on the National list that you apply in OCal production and storage areas on your OCal Handler Materials Application (OSP Materials List). Letter of justification attached				
5)	How do you prevent pest control materials from contaminating OCal products, organic and/or OCal ingredients, and packaging materials?				
	☐ Remove product and packaging from areas to be treated ☐ Wash and rinse organic and/or contact surfaces after treatment				
	☐ Cover equipment used for organic and/or handling during treatment				
	☐ Purge equipment with nonorganic product after treatment				
	Other (describe):				
6)	Where do you record pest control material use and measures taken to protect organic ingredients, OCal cannabis, and/or or OCal cannabis products or packaging?				
	You are required to record all substances applied to the product or used in or around any area where product is kept, including the quantity applied and the date of each application. All pesticide chemicals must be identified by brand name, if any, and by source.				
	☐ Pesticide Use Log ☐ Log describing removal/reentry of products and packaging ☐ Purge log				
	☐ Other (describe):				

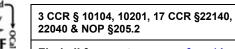
OCALB26, V1, 10/1/2021











RECORD KEEPING FOR HANDLERS

OSP SECTION: OCal H5.0

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Address: City: State/Province: Zip/Postal Code: Country: Contact(name/title): Phone: Fax: Email(s) B. Tracking OCal Products	Ор	erati	ion	Name:			Date:
Complete a separate OCal H5.0 Record Keeping for Handlers for each process or product when different record keeping syster used. Racility, product and/or process covered by this plan: A. Location of Records	An tran	"Audi spor	it Tr	ail" or "trace-back on of OCal produc	ts. Records must disclose all activitie	es and transactions in enough de	etail to be readily understood and
A. Location of Records Location where your OCal product records can be reviewed during inspection. Address: City:		Con	nple	-			
Location where your OCal product records can be reviewed during inspection. Address: City: State/Province: Zip/Postal Code: Country: Contact(name/little): Phone: Fax: Email(s) B. Tracking OCal Products Your audit trail records and documents must identify products as OCal and ingredients as organic and/or OCal. Work with your supplet or ensure that their documents also indicate organic and/or OCal status. 1) Describe or attach a diagram of how your audit trail/trace-back system tracks finished products from inbound receiving through production or packing to final outbound shipping or invoice. □ Description or diagram attached Audit trail systems include the following elements: a) Common andit trail documents − Bills of Lading (BOL), purchase orders, invoices, contracts, packing slips, certificate of analyses, organic import records, product specification sheets, receiving logs, inventory logs, batch records, manifests, transaction certificates, shipping and delivery records (weigh tickets, scale tickets, receipts, tags), chain of custody, truck a trailer numbers, clean truck affidavits. b) Uncertified suppliers − Additional audit trail information is required for each shipment from an uncertified handlers, refer to the OCal Uncertified Affidavit (Cal UHA). For organic non-cannabis ingredients from uncertified handlers, refer to the Exempt Hana Affidavit (EHA). Receiving procedures must include verification and documentation of the last certified operation. Sourcin uncertified handlers requires additional audit trail verification at inspection. c) Imports (organic ingredients only) - Importers and operations who are the first certified organic business in the USA to pure receive imported goods are required to have additional documentation showing that imported organic products have not treated upon entry to the USA. o Shipments from EU, UK, Japan, Korea, Taiwan, and Switzerland – NOP import certificates by an organic certificate agency are required for each shipment. Shipments from India – Certifica					process covered by this plan:		
Address: City: State/Province: Zip/Postal Code: Country: Contact(name/title): Phone: Fax: Email(s) B. Tracking OCal Products Your audit trail records and documents must identify products as OCal and ingredients as organic and/or OCal. Work with your supple to ensure that their documents also indicate organic and/or OCal status. 1) Describe or attach a diagram of how your audit trail/trace-back system tracks finished products from inbound receiving through production or packing to final outbound shipping or invoice. Description or diagram attached Audit trail systems include the following elements: a) Common audit trail documents – Bills of Lading (BOL), purchase orders, invoices, contracts, packing slips, certificate of analyses, organic import records, product specification sheets, receiving logs, inventory logs, batch records, manifests, transaction certificates, shipping and delivery records (weigh tickets, scale tickets, receipts, tags), chain of custody, truck a trailer numbers, clean truck affidavits. b) Uncertified suppliers – Additional audit trail information is required for each shipment from an uncertified handlers, refer to the OCal Unce Handler Affidavit (COal UHA). For organic non-cannabis ingredients from uncertified handlers, refer to the OCal Unce Handler Affidavit (COal UHA). For organic non-cannabis ingredients from uncertified handlers, refer to the Exempt Handler Affidavit (COal UHA). For organic non-cannabis ingredients from uncertified anothers, refer to the Exempt Handler Affidavit (COal UHA). For organic non-cannabis ingredients from uncertified apadiers, refer to the Exempt Handler Affidavit (COal UHA). For organic non-cannabis ingredients from uncertified apadiers, refer to the Exempt Handler Affidavit (COal UHA). For organic non-cannabis ingredients from uncertified apadiers, refer to the Exempt Handler Affidavit (EHA). Receiving procedures must include verification and documentation of the last certified operation. Sourcin uncertified andlers requires additional audit trail	Α.	Loc	cati	ion of Record	s		
Contact(name/title): Phone: Fax: Email(s) B. Tracking OCal Products Your audit trail records and documents must identify products as OCal and ingredients as organic and/or OCal. Work with your supply to ensure that their documents also indicate organic and/or OCal status. 1) Describe or attach a diagram of how your audit trail/trace-back system tracks finished products from inbound receiving through production or packing to final outbound shipping or invoice. Describtion or diagram attached	Loc	ation	wh	ere your OCal pro	duct records can be reviewed during	g inspection.	
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B. Tracking OCal Products Your audit trail records and documents must identify products as OCal and ingredients as organic and/or OCal. Work with your supply to ensure that their documents also indicate organic and/or OCal status. 1) Describe or attach a diagram of how your audit trail/trace-back system tracks finished products from inbound receiving through production or packing to final outbound shipping or invoice. Description or diagram attached		City	' :		State/Province:	Zip/Postal Code:	Country:
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 a) Common audit trail documents – Bills of Lading (BOL), purchase orders, invoices, contracts, packing slips, certificate of analyses, organic import records, product specification sheets, receiving logs, inventory logs, batch records, manifests, transaction certificates, shipping and delivery records (weigh tickets, scale tickets, receipts, tags), chain of custody, truck a trailer numbers, clean truck affidavits. b) Uncertified suppliers – Additional audit trail information is required for each shipment from an uncertified handler (broker, trader, wholesaler, distributor, or importer), for OCal cannabis ingredients from uncertified handlers, refer to the OCal Uncertified Affidavit (OCal UHA). For organic non-cannabis ingredients from uncertified handlers, refer to the Exempt Ham Affidavit (EHA). Receiving procedures must include verification and documentation of the last certified operation. Sourcin uncertified handlers requires additional audit trail verification at inspection. c) Imports (organic ingredients only) – Importers and operations who are the first certified organic business in the USA to pur or receive imported goods are required to have additional documentation showing that imported organic products have not treated upon entry to the USA. o Shipments from EU, UK, Japan, Korea, Taiwan, and Switzerland – NOP import certificate issued by an organic certific agency are required for each shipment. o Shipments from India – Certificate of Inspection issued by an organic certification agency via India's APEDA TraceNet system is required for each shipment may include import permits, phytosanitary certificates, transaction certificance. NOP Import certificates, CBP Forms 3461 and 7501, commercial invoices, export packing list, Certificate of Origin, Bill Lading, Waybills/Air Waybills, AMS Inspection Certificate, Charter Party, Marine Surveyor report (for bulk vessel shipm and other documents to demonstrate your system. Documents attached		•			•	Description of diagram attach	ieu
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				•		ic ingredients, OCal cannabis, or	OCal cannabis products to account for

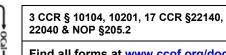
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RECORD KEEPING FOR HANDLERS

OSP OCal H5.0

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

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ode	0	123	Α	0	45
Signifies	Year: 2000	Julian date :production	Shift	Organic	Plant location
Code					
Signifies					
mmes					

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OCAL UNCERTIFIED HANDLER AFFIDAVIT



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- ► This form pertains to OCal System Plans. If you are certified by CCOF as organic rather than OCal, refer to the <u>Exempt Handler</u>
 Affidavit instead for incorporation into your Organic System Plan.
- This form should only be completed by uncertified handlers of OCal cannabis. Uncertified operations that store and/or supply non-cannabis organic product to a certified-OCal operation should instead complete the <u>Exempt Handler Affidavit.</u>

This affidavit is required for any of the following:

- Uncertified storage facilities used by CCOF operations to store unsealed OCal cannabis product or OCal cannabis product in permeable packaging.
- Uncertified brokers, traders, wholesalers, distributors, or importers who take title or physical possession of OCal cannabis products supplied to CCOF certified OCal operations.

This affidavit is not required for:

- Uncertified storage-only facilities used by CCOF operations to store sealed OCal cannabis products or OCal cannabis products product in impermeable packaging.
- Commission/fee brokers who do not take title or physical possession of OCal cannabis products.
- Uncertified handlers supplying OCal cannabis products in sealed, impermeable containers with final retail labeling that identifies the OCal status and original certified producer.
- Private label owners who purchase OCal cannabis ingredients that are shipped directly from a certified supplier to a certified copacker, and shipping documentation links to certified supplier.

CCOF operations must work with their purchasing department to determine if uncertified suppliers are used.

- ▶ Uncertified operations that store and/or supply non-cannabis organic product to a certified-OCal operation should complete the <u>Exempt Handler Affidavit</u>. Copies of the Exempt Handler Affidavit must be kept by both the CCOF certified operation and the uncertified handler entity. That affidavit and any sample audit trail records will become part of the CCOF certified operation's OCal System Plan (OSP).
- ▶ Uncertified handlers of OCal cannabis products must answer the questions below. A new affidavit is required only if there is any change in the future, including a change in management of the uncertified handler.
- ► Copies of the OCal Uncertified Handler Affidavit (UHA) must be kept by both the CCOF certified operation and the uncertified handler entity. This affidavit and any sample audit trail records will become part of the CCOF certified operation's OCal System Plan (OSP).
- ► CCOF certified operations will be billed an initial and annual fee for each OCal Uncertified Handler Affidavit and Organic Exempt Handler Affidavit, outlined in the CCOF Certification Services Program Manual. CCOF certified operations can avoid this fee by sourcing from certified handlers.

3 CCR § 10102 does not require certification of licensed commercial cannabis operations that do not handle cannabis products to be labeled, sold or represented as OCal if: (1) OCal products are packaged or otherwise enclosed in a container prior to being received or acquired, and (2) OCal products remain in the same package or container and are not repacked or re-labeled while in the control of the uncertified handler, except for Bureau sampling.

unc	ertified francier, except for bureau sampling.						
Unc	ertified handler operation name:						
Mar	nager/Owner:						
Ema	ail:						
Pho	ne: Website:						
Add	ress:						
Acti	vities (check all that apply): Dry storage Cold storage Freezer storage Broker Trader Wholesaler Distributor Other (Describe):						
The unc	Uncertified Handler Eligibility uncertified handler must answer the questions below. For uncertified brokers, traders, wholesalers, distributors, or impertified storage locations, answers below must reflect activities at uncertified storage locations as well as your own activities, CCOF may require that your uncertified storage location also complete this form.		o use				
		Yes	No				
1)	Do you ever handle any OCal cannabis products that are not enclosed in a package or container when you receive them?						
	a) If yes, describe:						

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OCAL UNCERTIFIED HANDLER AFFIDAVIT

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Producers					
		Yes	No		
2)	Do you ever combine or split loads of bulk/unpackaged products?				
3)	Do you ever open packages or containers of OCal cannabis products, other than for product sampling?				
4)	Do you ever relabel, package, or apply any label that obscures the original label or lot number/code?				
5)	Do you ever repack, sort, recondition, cull, ice, hydro cool, hydro vacuum, or otherwise process OCal cannabis products in any way? Repacking includes placing product into other packaging that displays OCal claims.				
6)	Do you ever apply any substance to the OCal product including water, ethylene, or controlled atmosphere treatment?				
7)	Does OCal product ever contact cleaners, sanitizers, pest control materials, non-OCal cannabis products or nonorganic products, water that has contacted non-OCal or nonorganic products, or other prohibited materials while under your control?				
8)	Is the OCal cannabis product packaged or enclosed in a container prior to being received and does it remain in that enclosed container while under your control, other than for product sampling?				
	a) If no, describe:				
9)	Broker, trader, wholesaler, distributor, importer – Describe how frequently you change OCal suppliers:				
10)	Do your audit trail records for each shipment include the information described in section B below? You may attach sample documents to demonstrate your system; all records must be provided to the CCOF certified operation and will be verified during CCOF inspections. If you have an alternative way to provide a complete traceback to the last certified handler, attach a description.				
	If your audit trail records do not trace back to the last certified OCal operation, the CCOF certified operation will not be				

Find all forms at www.ccof.org/documents. Send completed forms to inbox@ccof.org.

To be excluded from certification, the answer to questions 1-7 must be "NO", questions 8 and 10 must be "YES." Question 9 must be complete for brokers, traders, wholesalers, distributors, and importers.

B. Audit Trail Records

CCOF certified OCal operations may only source from uncertified handlers who provide full supplier traceability back to the last certified OCal operation for each shipment. We find the following to be essential for traceability:

1) Purchase invoices, BOL, and other audit trail records must:

able to source from you until you improve your records.

- Designate products as OCal AND
- Include a description of the product and amount transferred. You may strike out pricing information, provided that OCal status and quantity is legible.
- 2) Uncertified handler records and the last certified operation's records must link:
 - The last certified operation must be listed on invoices AND/OR
 - Lot numbers applied by the last certified operation must match lot numbers on uncertified handler audit trail records.
- 3) For each shipment, uncertified handlers must provide a complete and current OCal certificate for the last certified operation.
- 4) Documents generated by the last certified operation proving purchase, delivery, and/or transfer to the uncertified handler must be provided to the CCOF certified operation.
 - Uncertified handler audit trail records must link directly back to the last certified operation, including transport, storage, processing/handling, shipping, and/or distribution. Documents must show that OCal integrity was maintained; OCal products did not come into contact with non-OCal or nonorganic products or prohibited materials such as fumigants.
- All certified suppliers must be approved by CCOF as part of the certified operation's OCal System Plan (OSP). Notify your CCOF certified buyer prior to changing suppliers.

CCOF certified operations must maintain records sufficient to demonstrate compliance. If CCOF inspectors cannot track OCal product back to the last certified operation, sourcing OCal products from the uncertified handler may be considered a noncompliance.





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C. Uncertified Handler Statement

I, the owner or legally authorized representative, attest that I am qualified to assess the validity of the statements in this affidavit and the statements are true and accurate to the best of my knowledge.

I acknowledge the above requirements for audit trail records and disclosure to the CCOF certified operation and understand that failure to meet the audit trail record requirements or disclose records to the CCOF certified operation may be cause for CCOF to rescind approval of my operation as an approved uncertified OCal handler and may be cause for compliance action against the CCOF certified entity.

Name (Manager/Owner of Uncertified Handler)

Signature

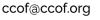
Date

Certification of broker/trader/wholesaler/distributor/importer/storage facilities is straightforward and allows you to protect the identity of your suppliers. Visit www.ccof.org to apply for certification. Questions about the certification process? Email getcertified@ccof.org.

CCOF reserves the right to inspect any facility storing or handling OCal product owned by a CCOF certified operation per 3 CCR §10500, and 17 CCR §22115. If the uncertified handler misrepresents policies or procedures as stated on this affidavit or acts in a manner that might jeopardize OCal integrity or tracking of the OCal product, the CCOF client using the uncertified handler will be notified. The CCOF client will be held responsible for correcting any noncompliance issues. CCOF will report uncertified handlers who are not excluded to the CDFA and/or CDPH as applicable, for investigation and potential civil penalties.

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