



Operation Name: _____ **Date:** _____

▶ Complete this form if you are a licensed OCal distributor who does not process, roll, repack, or relabel.

A. Activities

1) Select all that describe your activities:

- Broker Trader Wholesaler Distributor
- I take title to products I take physical possession of products I drop ship products to customers directly from suppliers
- I arrange sales between buyers and sellers without taking title or possession
- Exclusive sales agent for (operation name): _____
- Other (describe): _____

B. Monitoring Suppliers & Fraud Prevention

- You must maintain current OCal certificates for all suppliers, certified private label brand owners, and any other certified operation you work with.
 - You must notify CCOF of new suppliers quarterly at a minimum. If your certificate management system is insufficient, more frequent updates will be required.
- 1) Describe your OCal supplier certificate management system. You must ensure that all certificates are current (issued within the last 12 months) and complete, and listing the specific products you source.
- a) Who at your company is responsible for approving new OCal suppliers?
Prior to purchasing, you must review the OCal certificate to ensure it is current and complete. New suppliers must be added to your H2.6 form and approved by CCOF.
- _____
- b) How often do you switch suppliers, add new suppliers, make one-off purchases or do “spot purchasing” to prevent shortages?
Frequent changes may result in increased audit trail verification at inspection.
- _____
- c) How frequently do you review certificates for existing suppliers to ensure they are complete and current?
Must review annually for active suppliers, at a minimum.
- _____
- 2) Do you purchase OCal products from uncertified brokers, traders, wholesalers, or distributors?
Sourcing through uncertified handlers requires additional audit trail verification at inspection and will incur additional fees.
- No Yes. Attach an [OCal Uncertified Handler Affidavit \(OCal UHA\)](#) for each uncertified supplier, as applicable.
- a) If yes, how will you ensure that only certified suppliers are used by the uncertified handler? Check all that apply.
Your OSP must list all certified suppliers, including products sourced through uncertified handlers. Audit trail records must link directly back to the last certified operation.
- I do not place an order until certified supplier is identified by uncertified handler and I have determined the OCal certificate is legitimate and complete.
 - For any delivery that cannot be traced back to the certified supplier, I refuse or hold shipment until the certified supplier is verified.
 - Other (describe): _____

C. Harvest and Transportation

- 1) Do you harvest OCal crops and/or contract out harvest of OCal crops?
Records and OCal certificates must show that harvested parcels are certified and harvest equipment is cleaned or purged.
- No Yes. Complete sections A & B on [OCal C6.1 Harvest & Transport](#)



2) Are any products shipped in **unsealed** or in **permeable packaging** (ex: clamshells, open boxes, trucks) or in **reusable containers or vehicles** (ex: RPCs, tankers, railcars)?

All transload facilities where unpackaged product is transferred into another container must be certified OCal. Additionally, transporters that combine or split unpackaged loads must be certified organic.

Yes No

a) If yes, how do you ensure contamination and commingling are prevented during transport (ex: protection from sanitizer residue, gases, liquids)? Check all that apply. *Transporter records must be available for review at inspection.*

- Clean truck affidavit Cleaning and sanitizing material records Certified supplier provides documentation
- Truck cleaning procedures Wash tags Tanker Seals Marine Surveyor report for vessel cargo hold
- Other (describe): _____

D. Storage Facilities

1) If off-site facilities are used to store OCal products while **unsealed** or in **permeable packaging**, complete this table, or provide an attachment with this information.

Not applicable Attached

Storage Facility Name & Location	Ingredients/Products Stored	Documentation
		<input type="checkbox"/> OC* <input type="checkbox"/> UHA**
		<input type="checkbox"/> OC* <input type="checkbox"/> UHA**
		<input type="checkbox"/> OC* <input type="checkbox"/> UHA**

*Attach the OCal Certificate (OC) for each certified storage facility listed above. *You must request updated certificates annually.*

**For any non-certified facilities listed above, attach a CCOF [Ocal Uncertified Handler Affidavit \(Ocal UHA\)](#). An OCal UHA must be completed by the uncertified storage facility manager.