



Operation Name: _____ Date: _____

- ▶ Complete this form if you manufacture, process, label, or repack OCaL products or contract another certified operation to process and/or package OCaL products into your brand or label (private label brand owner/marketer).
 - You may only use labels, ingredients, processing aids, suppliers, and formulas approved by CCOF to produce OCaL products. Submit updates for pre-approval before using.
 - Once certified, you are only approved to produce OCaL products listed on your CCOF client profile, available on MyCCOF.org. Pre-approval is required for new products; submit a [OCaL Product Application](#).
 - You must maintain current organic and/or OCaL certificates for all suppliers, contracted co-packers, certified private label brand owners, and any other certified organic or certified OCaL operation you work with.

A. Labels and Ingredients

- 1) Attach all labels for all OCaL products, including retail, case labels, wholesale, and other labels that include any reference to OCaL. *Submit all revisions to CCOF prior to printing.*
 - Attached Not applicable, no package.
- 2) For ingredients and processing aid materials listed on your [OCaL H2.0A Ingredient Suppliers](#) and [OCaL Handler Materials Application \(OSP Materials List\)](#), do you source and procure the ingredients and materials?
 - Yes No Not applicable, we do not source ingredients or materials.
 - a) If no, indicate who sources ingredients or materials: _____
- 3) Do you make cannabis extracts?
 - No. Skip to question 4. Yes
 - a) If producing extracts, mark all extraction methods that you use:
 - Water Steam Ice Organic butter or organic food-grade oil (list on H2.0A)
 - Organic ethanol (list on H2.0A)
 - Carbon dioxide or dry ice (list on [OCaL Handler Materials Application \(OSP Materials List\)](#))
 - Mechanical methods (describe): _____

Only the methods listed above may be used to extract cannabis.
- 4) Are you a **private label brand owner/marketer** who contracts an independently certified co-packer to produce your branded products?
 - Not applicable, not working with co-packers. Skip to section B.
 - Yes, attach OCaL certificates for all **co-packers** who produce products for you at their facility. Attached

Certificates for co-packed products must list branded products specifically. You must request updated certificates annually.
- 5) As a **private label brand owner/marketer**, do you purchase, take title to, or take physical possession of ingredients?
 - No, co-packer sources and procures ingredients. Skip to section D.
 - Yes, I source ingredients
 - a) If sourcing ingredients, choose all that apply:
 - I select suppliers
 - I purchase ingredients
 - I take physical possession of ingredients for storage and ship them to my co-packer
 - b) If sourcing ingredients, select which of the following applies:
 - I provide all organic and/or OCaL supplier information to my co-packer and each shipment to the co-packer directly connects back to the certified supplier. Skip to section D. *H2.0A form not required.*
 - I do not provide organic and/or OCaL supplier information to my co-packer. *H2.0A form required for organic and/or OCaL ingredients, CCOF will list your organic and/or OCaL ingredients on your OCaL certificate.*

B. Monitoring Suppliers & Fraud Prevention

- 1) Describe your organic and/or OCaL supplier certificate management system. You must ensure that all certificates list the specific products you source, are current (issued within the last 12 months), and complete.
 - a) Who at your company is responsible for approving new organic and/ or OCaL suppliers?

Prior to purchasing, you must review the organic and/or OCaL certificate to ensure it is current and complete. New suppliers must





be added to your H2.0A form and be approved by CCOF.

b) How often do you switch suppliers, add new suppliers, make one-off purchases or do "spot purchasing" to prevent shortages? *Frequent changes may result in increased audit trail verification at inspection.*

c) How frequently do you review certificates for existing suppliers to ensure they are complete and current? *Must review annually for active suppliers, at a minimum.*

2) Do you purchase or receive organic and/or OCal products from uncertified brokers, traders, wholesalers, distributors, or importers? *Sourcing through uncertified handlers requires additional audit trail verification at inspection and will incur additional fees.*

- No
Yes. Attach an OCal Uncertified Handler Affidavit (OCal UHA) for each uncertified supplier of OCal cannabis ingredients, as applicable.
Yes. Attach an Exempt Handler Affidavit (EHA) for each uncertified supplier of organic non-cannabis ingredients, as applicable.

a) If yes, how will you ensure that only certified suppliers are used by the uncertified handler? Check all that apply. *Your OSP must list all certified suppliers, including products sourced through uncertified handlers. Audit trail records must link directly back to the last certified operation.*

- I do not place an order until certified supplier is identified by uncertified handler, I have determined the organic and/or OCal certificate is legitimate and complete, and new suppliers are approved by CCOF.
For any delivery that cannot be traced back to the certified supplier, I refuse or hold shipment until the certified supplier is verified.
Other (describe):

3) Do you purchase or receive any imported organic ingredients or products; grown or processed outside of the USA?

- No, Skip to section C. Yes. Complete this section.
Imported ingredients are at higher risk of contamination and fraud. Additional audit trail documentation may be required to show that products were not treated upon entry to the USA. Refer to OCal H5.0 Record Keeping for Handlers.

a) If yes, are you the importer of record?
Yes. Attach Import Permit for each product listing Conditions of Entry (if applicable) and Skip to section C.
No, I purchase ingredients from importers or suppliers. Continue to question 4.

4) Are importers/suppliers located in the USA?

- Yes, located in USA No, located outside USA
If you directly purchase or receive organic product from an importer or supplier located outside of the USA, you must maintain audit trail documentation described on the H5.0 form, even if the importer is certified organic.

a) If importer is located in the USA, is the importer certified organic?
Yes No. If the importer is not certified organic, you must maintain audit trail documentation described on the H5.0 form.

C. Formulas

1) For formulas listed on OCal H2.0B Product Formulation Sheet(s), do you control recipes, i.e. own or manage recipes? *Changes to formulas must be pre-approved by CCOF. H2.0B form not required for private label owner/marketer working with a co-packer; only co-packer is required to submit formula.*

- Yes No Some Not applicable, single ingredient products only. Not applicable, private label owner/marketer.

2) For finished products labeled "OCal" containing nonorganic ingredients listed on 205.606 or 205.605 (if commercial availability is noted), attach an OCal H2.7 Commercial Availability form for each nonorganic ingredient. Examples: flavors, colors, yeast.

You must continually search for organic versions on an annual basis.

- Attached Not applicable, no nonorganic ingredients.





D. Storage Facilities

1) If off-site facilities are used to store organic and/or OCal ingredients or products while unsealed or in permeable packaging, complete this table, or provide an attachment with this information.

Not applicable Attached

Storage Facility Name & Location	Ingredients/Products Stored	Documentation
		<input type="checkbox"/> OC* <input type="checkbox"/> OCal Cert* <input type="checkbox"/> OCal UHA**
		<input type="checkbox"/> OC* <input type="checkbox"/> OCal Cert* <input type="checkbox"/> OCal UHA**
		<input type="checkbox"/> OC* <input type="checkbox"/> OCal Cert* <input type="checkbox"/> OCal UHA**

*Attach the Organic Certificate (OC) and/or OCal Certificate for each certified storage facility listed above. *You must request updated certificates annually.*

**For any non-certified facilities listed above, attach a CCOF [OCal Uncertified Handler Affidavit \(OCal UHA\)](#). The OCal UHA must be completed by the uncertified storage facility manager.

